





1. What PLU code do I use for products which do not have a PLU code?

If a code does not currently exist for the product, a Retailer Assigned PLU Code can be used or an application can be submitted for a new PLU code if the criteria are met.

2. How can I create a new PLU Code?

Go <u>here</u> for the application process and criteria. You'll find the criteria to assign a new PLU code, along with the application and the supporting documentation needed. PLU applications are reviewed at certain times during the year so please refer to the website for submittal and approval dates.

3. What are the criteria for having a new PLU Code created?

- i. Commodity Product must be a fresh fruit or vegetable, loose or bunched, sold by weight or by the unit, in an unprocessed form and sold at retail stores.
- ii. Variety In order for a PLU code to be granted, the product in question must be a unique variety and the product must meet the variety criteria of the International Union For The Protection Of New Varieties Of Plants (www.upov.int) or a country specific equivalent organization.
- iii. Item Size If a size break is necessary, indicate the product size (e.g. small/medium/large/extra-large) that must be in compliance with the standard usage within the industry.
- iv. Distribution/Marketing The product must be <u>available</u> to the entire global produce industry and <u>available</u> from more than one producer or marketer.
- v. Production/Sales Volumes Current annual and 5 year projected volumes are required for both production and sales.
- vi. Countries of production The product must be <u>available</u> to be grown in more than one country.
- vii. Product Photo Photos of the product for which the application is being made must be provided with the completed application.
- viii. Retail Support Letters Support letters are required from at least three different retailers, each operating 25 or more stores.
- ix. Intellectual Property (trademarks and patents) Supporting evidence regarding the uniqueness of the variety is required.







PRICE LOOK-UP (PLU) CODES + DATABAR INITIATIVE IN MEXICO

FREQUENTLY ASKED QUESTIONS

4. How do I apply a PLU Code to Herb & Spices?

The PLU Code can be printed on an elastic band or tie wrapped around the product.



Unpackaged spices are not typically labeled. The PLU code is printed on the carton or packaging.

5. How do I put a PLU Code on uneven items such as Chile Peppers? Some items will be marked with PLU codes while others are not. If your item is difficult to label, verify with your customer if labeling of that particular item is required.

6. How do I differentiate growing regions with a PLU code?

The PLU system is a globally used system so the code can be used for any growing region (unless an exception is made). If only one number is provided in the PLU list, use that one. If two numbers are provided (one with a restriction for a specific growing region in USA or Canada), use the one that corresponds to the correct growing region. There are no restricted PLU Codes for any product grown in Mexico.

7. What is a Price Look-Up (PLU) code?

PLU codes are 4 or 5 digit numbers which have been used by supermarkets since 1990 to make check-out and inventory control easier, faster, and more accurate. They ensure that the correct price is paid by consumers by removing the need for cashiers to identify the product; e.g., whether or not it is conventionally or organically grown. They are primarily assigned to identify individual bulk fresh produce (and related items such as nuts and herbs) and will appear on a small sticker applied to the individual piece of fresh produce. The PLU number identifies produce items based upon various attributes which can include the commodity, the variety, the growing methodology (e.g. organic) and the size group.

The 4-digit PLU codes for produce are assigned randomly within a series of numbers within the 3000 and 4999 series. There is no intelligence built into the 4-digit code. For example, no one number within the 4-digit number represents anything in particular. The 4-digit codes are for conventionally







grown produce. 5-digit codes are used to identify organic produce. The prefix of '9' would be placed in front of the 4-digit conventionally grown code for organic produce. You will not see the 5 digit codes in the PLU codes database since they are simply prefixes added to the conventionally grown produce PLU codes.

In the future, the International Federation for Produce Standards (IFPS) will being assigning IFPS PLU codes utilizing the 83000 and 84000 series; however, unlike the '9', the leading digit '8' will have no significance.

PLU codes are assigned by the IFPS after rigorous review at both the national and international levels.

8. What is the International Federation for Produce Standards (IFPS)? The International Federation for Produce Standards (IFPS) is composed of national produce associations from around the globe.

The long term objective of the federation is to improve the supply chain efficiency of the fresh produce industry through developing, implementing and managing harmonized international standards.

For further information about the IFPS, please visit http://www.ifpsglobal.com/.

9. Is it required to label produce with PLU codes?

No, the PLU system is voluntary and based on business needs. It is not regulated by a governmental agency. Specifically, no regulatory body requires a PLU sticker on loose produce. Labeling produce with PLU codes are typically required by the retail industry to assist with point-of-sale (POS) identification. In various countries, most medium to large retailers expect a PLU as part of conducting business.

10. Why are some produce items labeled and others not?

Some items will be marked with PLU codes while others are not. One can't imagine green beans or mushrooms with stickers, however PLU codes may be used on signage or other means for these types of commodities. Items like apples can be stickered while items like leaf lettuce can be banded with a PLU code. The principal objective of PLU codes is to create a common code which becomes the foundation for system wide communication of electronic data.







PRICE LOOK-UP (PLU) CODES + DATABAR INITIATIVE IN MEXICO

FREQUENTLY ASKED QUESTIONS

11. How many PLU codes have been assigned?

Currently, there are over 1,400 global PLU codes assigned to produce and related items.

12. How do I obtain a complete list of PLU codes?

Refer to the <u>IFPS PLU search page</u> and then simply select 'Search'. Do not fill in or select any options from the fields. This will present the entire list of existing PLU codes. You then have the option to export the entire list to Excel and manipulate the list however you choose.

13. Is there a fee to apply for a new PLU code?

A non-refundable processing fee is required for each new application considered by the IFPS. The fee is \$1000 USD for a member of any IFPS Member Organization (refer <u>here</u>) or \$2000 USD for non-members. If an applicant is unsure of their status as a member, please contact the corresponding organization. Helps defer administrative and processing fees and support the IFPS in its global activities.

14. What is the review process for a PLU application?

The application is first reviewed for completeness and accuracy of data. The application is then forwarded to the member organizations of IFPS. Each IFPS member organization has a review process within its country for each application (including commodity specific and retail review) after which it is submitted to the IFPS Produce Identification Committee and then to the IFPS Board.

The IFPS Board of Directors makes the final decision on whether to approve or deny the application at quarterly board meetings.

15. How long does it take to receive a response regarding an application submittal?

It typically takes an average of 3 months to receive a response from the IFPS. Please refer to the table below for actual submission and decision dates.

Deadline for Submission	Deadline for Comments	Decision Date
November 1	December 15	January 31
February 1	March 15	April 30
May 1	June 15	July 31
August 1	September 15	October 31







16. Are there any restrictions for applying for a new PLU code?

The product must be a unique variety, <u>available</u> to the entire global produce industry and <u>available</u> from multiple marketers. Codes will not be assigned for a product that is controlled by a single entity.

17. Is there a minimum production number required to be granted a new PLU code?

Depending on the commodity, there are production thresholds that the committees use as a reference when reviewing applications. However, they are only used as a means of guidance and not a determining factor for granting a PLU code.

18. What is a Retailer Assigned PLU Code and how is it assigned?

Retailer Assigned PLU codes allow retailers to designate their own numbers for items that do not have a standard PLU code assigned. After a supplier makes specific arrangements with all retailers that will receive the item, a Retailer Assigned PLU Code may be used. Each retailer may assign a different PLU Codes to the same item, for items that multiple Retailer Assigned Codes exist; we suggest that you get the agreement in writing for your records.

For some commodities where there are a large number of varieties, e.g., apples or oranges, a block of Retailer Assigned PLU codes are available to identify these varieties that don't currently have a PLU code.

19. How do I code organically grown produce with a PLU code?

Add a '9' in front of the four digit PLU code for the conventionally grown item. For example, the PLU code for a standard yellow banana is 4011 where an organically grown standard yellow banana would be 94011.

In the future, the International Federation for Produce Standards (IFPS) will being assigning IFPS PLU codes utilizing the 83000 and 84000 series; however, unlike the '9', the leading digit '8' will have no significance. When this occurs, the 83000 series will be used to assign codes for conventionally grown produce items and the 84000 series will be used to identify the corresponding organic item.

20. Are there any other prefixes that signify any other type of processing?

No, the only existing established prefix is the '9'.







21. Why would a PLU code be 6 digits in length?

PLU codes can only be 4 or 5 digits in length. PLU codes with 6 digits are not part of the internationally standardized list of PLU codes for use with fresh produce.

22. Why would a PLU code start with the number `6' or something other than a `9'?

The only prefix recognized by the global system is '9' which represents organically grown produce. PLU codes starting with the prefix '6' are not part of the internationally standardized list of PLU codes for use with fresh produce. IFPS is unable to provide any clarity on where the number originated and what it represents. IFPS suggests you contact the produce manager at your local store as he/she may have access to that information.

23. What happened to the prefix `8' previously designated to identify GMO produce items?

As the adoption of PLU codes has gained traction, the IFPS has committed to transitioning the previously unused '8' prefix to accommodate an increase in varieties of fresh produce items as they enter the market.

Though the '8' prefix (83000-84999) was once reserved for GMO produce items, the prefix was never used at retail. Stripping the prefix of this particular designation will yield one thousand additional PLU codes to be used in future years. The 83000 series will be reserved for conventionally grown items where the 84000 will designate the corresponding organic item.

24. Do the individual numbers in the PLU code indicate anything?

PLU codes are simply business tools used for inventory control, accurate price rings at the register and data to inform retailers what customers are purchasing. The codes are not intended to convey information to consumers.

The prefix '9' in front of a 4 digit standard PLU code is used to identify organically grown items, however, to be sure the item is a certified organic product, one should look for the USDA organic seal on the product.

To identify a specific growing method for a produce item, consumers should consult their produce managers at their retail stores.







25. Do I need to put the name of the commodity/variety or company name on the PLU sticker?

No, the PLU coding system is a voluntary system and is used primarily for POS (point-of-sale) identification at the retailer. The only requirement for the sticker is the 4 or 5 digit number for the first phase of the PLU initiative in Mexico. The second phase of the PLU Initiative in Mexico will require a DataBar barcode on the PLU sticker. It is recommended that growers servicing the Mexican retail market seriously consider incorporating the DataBar barcode on their PLU stickers initially. If there is enough retail space on the sticker, you can place a logo, country of origin or other information on the sticker.

26. What font should I use for my PLU stickers?

You should follow these recommendations when printing standard PLU codes:

- a. For maximum legibility at the checkout, each digit in the PLU code should be a minimum 14 point type size. Where a larger label permits, a larger type may be used (there is no maximum size).
- b. With the introduction of DataBar on the label, the average point size recommended is between 10 point and 12 point.
- c. The PLU code should be printed in high contrast to the background. Black print on a white background is ideal. The higher the contrast, the better the legibility.
- d. At all times remember that the numbers must be read quickly and accurately when viewed by a cashier through a clear plastic bag.
- e. Please print the PLU sticker so the number is the most prominent element on the label. The PLU number should appear as large as possible on the label.
- f. A serif style font is generally more legible than a non-serif font.

27. May I put the trademarked name on the PLU sticker?

The industry can put whatever they want on the sticker. The IFPS only controls the integrity of the number itself. If a packer chooses to add a brand name to the label, it is up to them to be certain that their receivers (buyers) will accept it.







28. What do the restrictions mean on the list?

There are certain PLU codes which were originally assigned for a specific region outside or inside of the U. S. and Canada when the system was first established. Although PLU codes are now considered global, they are, for various reasons, impossible to incorporate in markets outside of those restricted regions. For those PLU codes 'Restricted from ANY use in Canada or U. S.', the recommendation is to only use these codes for items grown and/or sold outside of Canada or U. S. For those items where codes exist to designate specific U.S. or Canadian growing regions, the geographical restrictions/designations are noted, and are to be used only for product grown in US or Canada in those restricted areas.

29. How do I know what the size breaks are for a particular size identification?

From the Search Results page, select the PLU code and it will display a detailed page of associated information for that particular code/item including the sizes or counts that correspond with the size description. There are typically 'small' and 'large' sizes of produce. Listed below are the sizes which typically represent those descriptions.

- i. For North America, 'Small' typically represents 100 size and smaller and 'Large' represents 88 size and larger.
- ii. For the Rest of the World, 'Small' represents Average fruit weight < 150g and 'Large' represents Average fruit weight > 150g.
- iii. The size (count 100 or 88) refers to the number of fruit or vegetables in a standard box most commonly in use in N. America.
- iv. Average produce weight or diameter is not a minimum weight or diameter but the average weight or diameter of the items contained in the box irrespective of its size (capacity).
- v. There are also 'Medium' and 'Extra Large' sizes which would be defined in the detailed display for each commodity on the Search Results page.

30. Where can the most recently added PLU codes be found?

On the <u>IFPS Identification page</u>, the 'Recently Added/New PLU Codes' can be found under 'PLU Code News'. If you select that link, you will get a table of the most recently added PLU codes.







31. Are there any language requirements for PLU labels?

This is determined by your target market. For example, in the U.S., there are no language requirements relative to the PLU labels. However, in Canada, there are requirements around printing in French. Please contact your receivers to understand any particular requirements in your target market.

32. Canada and the United States have country of origin declaration on labeling requirements. Do I need to include the country of origin on my PLU label?

There is no Canadian regulatory requirement to put country of origin information on a PLU label. In general, Canadian labeling regulations require a country of origin declaration on pre-packaged product and shipping containers. In the U.S., regulations for Country of Origin Labeling (COOL) took effect in March 2009. It requires that retailers notify their customers of the country of origin for fresh produce. If the product is labeled with a sticker, the regulation requires that the majority of product in a retail bin/display needs to be labeled for compliance.

Otherwise, the retailer can simply put up a sign in the store by the product. To ensure compliance with the regulation in the U.S., the USDA conducts in-store COOL reviews.

33. Is it acceptable to add our company's website on the PLU label?

This is a marketing decision each company makes. However, be aware that, if the website contains any nutritional information on the product, and depending on the target market, it may trigger the country specific requirements. Please contact your receivers to understand any particular requirements in your target market.

34. How can I find out about an individual sticker or adhesive used?

To get specific information about a particular sticker, one should contact the company using the sticker.

35. What is the DataBar code?

The DataBar is the name of the barcode that acts similarly to the linear EAN/UPC (European Article Number and Universal Product Code). It is encoded with a 14 digit number called a GTIN (Global Trade Item Number).

It was designed to fit on smaller items that are not large enough to use a traditional GTIN barcode; e.g., loose/bulk produce. This barcode, also called the Stacked Omni-directional DataBar, can be printed on the same sticker on which the PLU code is printed.







36. Will I still need to use the PLU code if I'm using the DataBar?

Yes, the PLU code will be the human readable portion of the label if the DataBar does not scan.

37. Do I need to include the PLU code when creating my GTIN to be encoded in the DataBar?

No, since the GTIN is company specific and the prefix varies in numeric length, a company might not have the space to include the 4 or 5 digit PLU code in the 14 digit GTIN. If you have the space to use your company specific prefix and the PLU code as the item reference portion of the GTIN and still have the preceding '0' and the ending check digit, then you can use the PLU code if you want to. It is recommended you simply create your own GTINs as you see fit to capture all the bulk products in your item catalog.

38. Why should I use the DataBar code?

The DataBar code will allow:

- a. Identify the producer
- b. Identify each move of the product thru the supply chain
- c. Quantify the waste by product and producer
- d. A better category management
- e. Ensure the price of sale with the retailer and after that, in the POS
- f. Help in traceability and recall process
- g. Better shopping experience

39. Is the same GTIN used to the product than the one used on the package?

No, both are different. Even the GTIN used at the packaging level and the GTIN used in the DataBar at the product level have the same format, they are not the same number.

The GTIN could be used to: (1) linking the owner of the brand with a specific product and (2) to identify something (eg., a package or an article).

40. Who is the responsible to link the PLU code with GTIN?

The only responsible is the GTIN owner, who will link it in their own database.







41. What 's the suggested size for DataBar on the PLU tag? The minimum sizes to assure an optimal scanning are: 10.15mm x 14.01mm. However, there are some other sizes that must be considered. To ensure a complete understanding, please check out the Grower Implementation Guide.

42. Are all retailers capable of scanning and storage the DataBar? Most of the recent scanners (from 2002 till now) are able to do it. Nevertheless, this function comes unactivated. To ensure that the POS comes with this function, get in touch with the technical provider. For more details, review the Retailer Implementation Guide.

43. What happens if the scanner does not read the DataBar?

In case the Barcode is not able for scanning, the cashier may enter manually the PLU number printed on the sticker and then proceed with the charging.

44. How can I find a company which makes DataBar stickers? Review the technology provider's catalogue, available on the Grower Implementation Guide.